

ON A TOPOLOGICAL CHARACTERIZATION OF PRÜFER v -MULTIPLICATION DOMAINS AMONG ESSENTIAL DOMAINS

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ABSTRACT. In this paper we characterize the Prüfer v -multiplication domain as a class of essential domains verifying an additional property on the closure of some families of prime ideals, with respect to the constructible topology.

INTRODUCTION

The notion of Prüfer domain, introduced by H. Prüfer in 1932, plays a central role in the theory of integrally closed domains. In fact it globalizes the concept of valuation domain in the sense that a domain is Prüfer if and only if it is locally a valuation domain (i.e. all its localizations at prime ideals are valuation domains). There is a wide literature about the investigation of the multiplicative structure of ideals in Prüfer domains (for a deeper insight on recent developements on this topic, see [10], [23]). The notion of *Prüfer v -multiplication domain* (briefly, $PvMD$) was introduced to enlarge the class of Prüfer domains (for instance, two-dimensional regular domains are $PvMD$ but not Prüfer). More precisely, an integral domain is a $PvMD$ if and only if it is t -locally a valuation domain, i.e. each localization at t -prime ideals is a valuation domain (Section 1). Here we just point out that the condition of being t -locally a valuation domain is certainly weaker than being locally a valuation domain because it involves a subset of the prime spectrum of a domain. Other interesting examples of $PvMD$'s, besides Prüfer domains, are for instance $\mathbb{Z}[X]$ and, more generally, Krull domains.

M. Griffin in [15] gives a very simple characterization of the $PvMD$ s with the t -finite character (i.e., each nonzero element of D is contained in finitely many t -maximal ideals). In this case they are exactly the essential domains with the t -finite character (Theorem 2.2).

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But the essential property for a domain D is not, in general, equivalent to saying that D is a PvMD. An important example of this fact is given by W. Heinzer - J. Ohm in [17]. We have gone through this construction in order to understand what is missing in this essential domain that makes it not to be a PvMD. Then we used this observation to give a general characterization of PvMDs among the essential domains.

The central result of this paper is Theorem 2.4 in which we describe exactly PvMDs as a subclass of essential domains that verifies an additional condition regarding ultrafilter limits of suitable families of prime ideals.

This theorem is on the one hand a generalization to any essential domain of the above-mentioned result by Griffin on domains with t -finite character and, on the other hand, it gives a topological explanation of what goes wrong with Heinzer-Ohm example of an essential domain that is not a PvMD.

In Corollary 2.11 we compare the PvMD property among domains with different quotient fields. In particular we give a result in the case in which these quotient fields K and L form an algebraic extension $K \subseteq L$.

An interesting still open question is when a family of PvMDs $\{D_i : i \in I\}$ is such that the intersection $D = \bigcap_{i \in I} D_i$ is a PvMD. This does not happen even in very easy cases like the intersection of two PvMD's (for instance domains of the type $V \cap \mathbb{Q}[X]$, where V is a valuation overring of $\mathbb{Z}[X]$, are quite often non-PvMD). In Theorem 2.14 and Corollary 2.15 we partially answer this question. In particular, we show that if the family is finite and D is "essential with respect to each D_i " then D is a PvMD.

An interesting application of Theorem 2.4 is given in Section 3 with regard to the ring of integer valued polynomials over a domain D , $\text{Int}(D) = \{f \in K[X] : f(D) \subseteq D\}$.

Both the problems of characterizing when $\text{Int}(D)$ is Prüfer or PvMD have been investigated in the last twenty years (see, for instance, [4, 21, 3]).

Here we discuss the PvMD property of $\text{Int}(D)$. In Theorem 3.7 we refine the general characterization of a domain D such that $\text{Int}(D)$ is a PvMD given in [3]. More precisely, we show that one of the three equivalent conditions of [3, Theorem 3.4] posed on D can be deleted by putting an extra-hypothesis on the localizations $\text{Int}(D_P)$ (for $P \in t\text{-Spec}(D)$).

Most of the results presented in this paper are topological in nature and their proofs are often based on techniques involving the constructible topology. For relevant contributions on this circle of ideas see, for instance, [7], [24].

1. PRELIMINARIES

With the term *ring* we will mean always a commutative ring with identity and, as usual, we denote by $\text{Spec}(A)$ the set of all prime ideals of a ring A . For any ring homomorphism $f : A \longrightarrow B$, we shall denote by $f^* : \text{Spec}(B) \longrightarrow \text{Spec}(A)$ the canonical map, induced by f .

ULTRAFILTER LIMIT POINTS

Given a set X , we recall that an *ultrafilter on X* is a collection \mathcal{U} of subsets of X such that:

- (1) $\emptyset \notin \mathcal{U}$.
- (2) If $Y, Z \in \mathcal{U}$, then $Y \cap Z \in \mathcal{U}$.
- (3) If $Y \in \mathcal{U}$ and $Y \subseteq Z \subseteq X$, then $Z \in \mathcal{U}$.
- (4) For any $Y \subseteq X$, either $Y \in \mathcal{U}$ or $X - Y \in \mathcal{U}$.

We remind in the following remark some basic properties of ultrafilters that will be useful.

Remark 1.1. Let X be a set.

- (1) If \mathcal{F} is a collection of sets with the finite intersection property, then \mathcal{F} extends to some ultrafilter \mathcal{U} on X (i.e., $\mathcal{U} \supseteq \mathcal{F}$).
- (2) If $x \in X$, the collection of sets $\mathcal{U}_x := \{Y \subseteq X : x \in Y\}$ is an ultrafilter on x , called a *principal ultrafilter*. From the definitions, it easily follows that an ultrafilter is trivial if and only if it contains a finite set.
- (3) An ultrafilter \mathcal{U} on X is *nontrivial* if $\mathcal{U} \neq \mathcal{U}_x$, for any $x \in X$. A straightforward application of Zorn's Lemma shows that X admits non principal ultrafilters if and only if it is infinite.

Now, let A be a ring. Unless otherwise specified, we endow $\text{Spec}(A)$ with the Zariski topology, whose closed sets are of the form

$$V(\mathfrak{a}) := \{\mathfrak{p} \in \text{Spec}(A) : \mathfrak{a} \subseteq \mathfrak{p}\},$$

for any ideal \mathfrak{a} of A . For any $Y \subseteq \text{Spec}(A)$, we shall denote by $\text{Cl}^c(Y)$ the closure of Y , with respect to the constructible topology, that is the smallest topology for which any set of the form

$$D(f) := \{\mathfrak{p} \in \text{Spec}(A) : f \notin \mathfrak{p}\} \quad (f \in A)$$

is clopen. It follows easily by definitions that a basis of clopen sets for the constructible topology is

$$\{D(f) \cap V(\mathfrak{a}) : f \in A, \mathfrak{a} \text{ finitely generated ideal of } A\}$$

Recently, a relation between the constructible topology and the notion of *ultrafilter limit point* has been shown independently in [11] and [6]. More precisely, let Y be a nonempty subset of $\text{Spec}(A)$ and let \mathcal{U} be an ultrafilter on Y . By [3, Lemma 2.4], the set

$$Y_{\mathcal{U}} := \{a \in A : V(a) \cap Y \in \mathcal{U}\}$$

is a prime ideal of A , called *the ultrafilter limit point of Y , with respect to \mathcal{U}* . By [11, Theorem 8] and [6, Corollary 2.16], a set is closed with respect to the constructible topology if and only if it contains all of its ultrafilter limit points. Moreover, by [6, Proposition 2.12], we have

$$(\diamond) \quad \text{Cl}^c(Y) = \{Y_{\mathcal{U}} : \mathcal{U} \text{ ultrafilter on } Y\}$$

for every subset Y of $\text{Spec}(A)$.

THE t -OPERATION

Given an integral domain D with quotient field K we have the following usual terminology and definitions: for each nonzero (fractional) ideal I of D the *divisorial closure* of I is the ideal $I^v = (D : (D : I))$, where $(D : I) := \{x \in K : xI \subseteq D\}$.

The *t -closure* of I is

$$I^t = \bigcup \{J^v : J \text{ is finitely generated ideal and } J \subseteq I\}$$

The ideal I is called a *t -ideal* if either $I = (0)$ or $I = I^t$ and it is a *t -prime* if it is prime and a t -ideal (usually the notion of a t -ideal is given for *nonzero* fractional ideals, but here it will be convenient to declare (0) a t -ideal, by definition). A *t -maximal ideal* is a t -ideal which is maximal among the proper t -ideals of D . A t -maximal ideal is t -prime and a proper t -ideal is always contained in a t -maximal ideal. We denote by $t\text{-Max}(D)$ the set of the t -maximal ideals of D and by $t\text{-Spec}(D)$ the set of t -prime ideals of D . For background material on t -operation see, for instance, [14, 19]

2. MAIN RESULTS

Let D be an integral domain. A valuation overring of D is said to be *essential* for D if it is a localization of D . A prime ideal of D is *essential* if it is the center of an essential valuation overring of D . A collection \mathcal{V} of overrings of D is said to be *an essential representation*

of D if $D = \bigcap \{V : V \in \mathcal{V}\}$ and each member of \mathcal{V} is essential for D . Recall that D is said to be *essential* if it has an essential representation. Denote by $\mathcal{E}(D)$ the *essential prime spectrum* of D , i.e.,

$$\mathcal{E}(D) := \{\mathfrak{p} \in \text{Spec}(D) : D_{\mathfrak{p}} \text{ is a valuation domain} \}$$

Remark 2.1. We recall the following well-known facts:

- (1) Any domain D can be represented as $D = \bigcap_{M \in t\text{-Max}(D)} D_M$ [15, Proposition 4].
- (2) An integral domain is a Prüfer domain if and only if every ideal is a t -ideal [14, Proposition 34.12]. In particular, every ideal of a valuation domain is a t -ideal.
- (3) A PvMD is always essential because D_P is a valuation domain for each $M \in t\text{-Max}(D)$ ([20, Theorem 3.2]).
- (4) For any integral domain D the following inclusion $\mathcal{E}(D) \subseteq t\text{-Spec}(D)$ holds, by [20, Lemma 3.17].
- (5) There exist essential domains that are not PvMD. An example is given by W. Heinzer - J. Ohm ([17], see also the following Example 2.3).
- (6) A domain D has the t -finite (resp. finite) character if each nonzero element $x \in D$ belongs to finitely many t -maximal (resp. maximal) ideals.
PvMD's may not have the t -finite character: for instance take $\mathbb{Z} + X\mathbb{Q}[X]$.
- (7) By [3, Lemma 2.4 & Proposition 2.5], every nonzero ultrafilter limit of a family of t -prime ideals is a t -prime ideal. Since we have set (0) to be a t -ideal, we have that every ultrafilter limit of a family of t -prime ideals is a t -prime ideal, that is, $t\text{-Spec}(D)$ is closed, with respect to the constructible topology [11, Theorem 8].

Thus, if D is a PvMD, then $\mathcal{E}(D)$ is closed with respect to the constructible topology, since $\mathcal{E}(D) = t\text{-Spec}(D)$.

In Theorem 2.4 we characterize PvMDs in terms of the closure (with respect to the constructible topology) of a suitable subset of $\mathcal{E}(D)$.

We say that a collection of overrings \mathcal{O} of D is *locally finite* if for any nonzero element $x \in D$ the set $\{B \in \mathcal{O} : x \text{ is not invertible in } B\}$ is finite. Recall that an integral domain is a *Krull-type domain* if it is an essential domain and it has a locally finite essential representation. The following result characterizes Krull-type domain.

Theorem 2.2. ([15, Proposition 4, Theorems 5 and 7]) *Let D be an integral domain. The following conditions are equivalent.*

- (i) D is a Krull-type domain.

(ii) D is a PvMD with t -finite character.

The following example is given in [17] and it is a construction of an essential domain that is not a PvMD. It is not easy to find a domain with these properties, and our aim is to go through this construction by giving evidence to some topological aspects that will be central in the next Theorem 2.4.

Example 2.3. ([17]) Let K be a field and let $X_0, X_1, \dots, X_n, \dots, T, U$ be an infinite and countable collection of indeterminates over K . Set $\mathcal{X} := \{X_n : n \in \mathbb{N}\}$ and consider the Krull domain $R := K(\mathcal{X})[T, U]_{(T, U)}$. Moreover, for any $i \in \mathbb{N}$, let v_i be the valuation on $L := K(\mathcal{X}, T, U)$ such that $v_i(K(\{X_j : j \neq i\})) = \{0\}$ and $v_i(X_i) = v_i(T) = v_i(U) := 1$ (define v_i on polynomials in the canonical way, i.e., just by taking the infimum of the value of each monomial, and extend it to L). For any $i \in \mathbb{N}$, let V_i be the DVR associated to v_i , let \mathfrak{m}_i be its maximal ideal, and set $D := R \cap \bigcap_{i \in \mathbb{N}} V_i$. In [17], the authors show that D is an essential domain that is not a PvMD. More precisely, they show that

$$Y := \{\mathfrak{p} \cap D : \mathfrak{p} \text{ height-one prime of } R\} \cup \{\mathfrak{m}_i \cap D : i \in \mathbb{N}\}$$

is a collection of essential prime ideals of D .

We will give now a new proof of the fact that D is not a PvMD and it will help to understand the characterization given in the following Theorem 2.4. As a matter of fact, set

$$\mathcal{F} := \{V(f) \cap Y : f \in D \cap (T, U)K(\mathcal{X})[T, U]_{(T, U)}\}$$

and take finitely many elements $f_1, \dots, f_n \in D \cap (T, U)K(\mathcal{X})[T, U]_{(T, U)}$. Then there is a natural integer n such that

$$(f_1, \dots, f_n)D \subseteq D \cap (T, U)K(X_0, \dots, X_n)[T, U]_{(T, U)}.$$

Furthermore, it is straightforward to show that the inclusion $D \cap (T, U)K(X_0, \dots, X_n)[T, U]_{(T, U)} \subseteq \mathfrak{m}_i \cap D$ holds, for any $i > n$. It follows that $\mathfrak{m}_i \cap D \in V((f_1, \dots, f_n)D) \cap Y$, for any $i > n$, i.e., the collection of sets \mathcal{F} has the finite intersection property. Then there is an ultrafilter \mathcal{U} on Y such that $\mathcal{F} \subseteq \mathcal{U}$. By definition, the ultrafilter limit point $Y_{\mathcal{U}} := \{f \in D : V(f) \cap Y \in \mathcal{U}\}$ satisfies the inclusion $D \cap (T, U)K(\mathcal{X})[T, U]_{(T, U)} \subseteq Y_{\mathcal{U}}$. It follows $D_{Y_{\mathcal{U}}} \subseteq R$, and then $D_{Y_{\mathcal{U}}}$ is not a valuation domain. Moreover, keeping in mind the equality (\diamond) and Remark 2.1, we have

$$Y_{\mathcal{U}} \in \text{Cl}^c(Y) \subseteq \text{Cl}^c(t\text{-Spec}(D)) = t\text{-Spec}(D).$$

Thus D is not a PvMD.

Observe that we have found the bad t -prime ideal $Y_{\mathcal{U}}$ that makes D fail to be a PvMD in the closure of the set of the centers of an essential representation of D .

In view of Theorem 2.2 and the previous example, the following question arises naturally: let D be an essential domain with an essential representation \mathcal{V} . Is it possible to put on \mathcal{V} an extra condition, weaker than locally finiteness, in order to get that D is a PvMD?

The following Theorem 2.4 will give a positive answer to this question.

Theorem 2.4. *Let D be an integral domain and $\mathcal{E}(D)$ be the essential prime spectrum of D . Then, the following conditions are equivalent.*

- (i) D is a PvMD.
- (ii) D is an essential domain and there is an essential representation $\mathcal{V} := \{D_{\mathfrak{p}} : \mathfrak{p} \in Y\}$ of D , for some $Y \subseteq \text{Spec}(D)$, such that $\text{Cl}^c(Y) \subseteq \mathcal{E}(D)$.

Proof. (i) \implies (ii). Assume that D is a PvMD and take $Y := t\text{-Spec}(D)$. Applying [12, Corollary 2.10], it follows easily that Y is closed, with respect to the constructible topology and, by assumption $Y \subseteq \mathcal{E}(D)$. Finally, it suffices to note that $\{D_{\mathfrak{p}} : \mathfrak{p} \in Y\}$ is an essential representation of D .

(ii) \implies (i). Let \mathfrak{m} be a t -maximal ideal of D and set

$$\mathcal{F} := \{V(x) \cap Y : x \in \mathfrak{m}\}$$

We claim that \mathcal{F} has the finite intersection property. If not, there exist elements $x_1, \dots, x_n \in \mathfrak{m}$ such that $V(x_1, \dots, x_n) \cap Y = \emptyset$. Thus, if $\mathfrak{a} := (x_1, \dots, x_n)D$, for any $\mathfrak{p} \in Y$ there is an element $d \in \mathfrak{a} - \mathfrak{p}$. For any $x \in (D : \mathfrak{a})$ we have $dx \in D$, that is, $x \in D_{\mathfrak{p}}$. Since \mathcal{V} is an essential representation, we infer that $(D : \mathfrak{a}) = D$, i.e. $\mathfrak{a}^v = D$. Since $\mathfrak{a} \subseteq \mathfrak{m}$ and $\mathfrak{m} \in t\text{-Max}(D)$, we have $\mathfrak{a}^v = \mathfrak{m}^t = \mathfrak{m} = D$, a contradiction. Since \mathcal{F} has the finite intersection property, we can pick an ultrafilter \mathcal{U} on Y extending \mathcal{F} . Then, it follows by definition $\mathfrak{m} \subseteq Y_{\mathcal{U}} := \{x \in D : V(x) \cap Y \in \mathcal{U}\}$. Now note that, by [20, Lemma 3.17(1)] and [12, Corollary 2.10], $\text{Cl}^c(Y) \subseteq t\text{-Spec}(D)$, and thus $Y_{\mathcal{U}} \in t\text{-Spec}(D)$. It follows $\mathfrak{m} = Y_{\mathcal{U}}$. On the other hand, $\text{Cl}^c(Y) \subseteq \mathcal{E}(D)$, and thus $D_{\mathfrak{m}} = D_{Y_{\mathcal{U}}}$ is a valuation domain. The proof is now complete. \square

Corollary 2.5. *Let D be an essential domain that admits an essential representation \mathcal{V} such that the set of the centers in D of the valuation domains in \mathcal{V} is closed, with respect to the constructible topology. Then D is a PvMD.*

Proof. Apply Theorem 2.4. \square

Corollary 2.6. *An integral domain D is a PvMD if and only if D is essential and $\mathcal{E}(D)$ is closed, with respect to the constructible topology.*

Proof. If D is a PvMD, then $\mathcal{E}(D) = t\text{-Spec}(D)$ and it is closed with respect to the constructible topology. Conversely, if D is essential, then $\{D_{\mathfrak{p}} : \mathfrak{p} \in \mathcal{E}(D)\}$ is clearly an essential representation of D . Since, by assumption, $\mathcal{E}(D)$ is closed, the conclusion follows by Corollary 2.5. \square

Corollary 2.7. *Let D be an integral domain. Then, the following conditions are equivalent.*

- (i) D is a PvMD.
- (ii) D is an essential domain and it admits an essential representation $\{D_{\mathfrak{p}} : \mathfrak{p} \in Y\}$, where $Y \subseteq \text{Spec}(D)$ is compact, with respect to the Zariski topology.

Proof. (i) \Rightarrow (ii) follows by taking $Y := t\text{-Spec}(D)$.

(ii) \Rightarrow (i). Let Y be a compact subspace of $\text{Spec}(D)$ such that $\{D_{\mathfrak{p}} : \mathfrak{p} \in Y\}$ is an essential representation of D and set

$$Y^{\text{gen}} := \{\mathfrak{q} \in \text{Spec}(D) : \mathfrak{q} \subseteq \mathfrak{p}, \text{ for some } \mathfrak{p} \in Y\}$$

Of course, $\mathcal{V} := \{D_{\mathfrak{q}} : \mathfrak{q} \in Y^{\text{gen}}\}$ is still a representation of D . We claim that \mathcal{V} is also essential since, if $\mathfrak{q} \in Y^{\text{gen}}$ and $\mathfrak{p} \in Y$ is such that $\mathfrak{q} \subseteq \mathfrak{p}$, then $D_{\mathfrak{p}}$ is a valuation domain and $D_{\mathfrak{q}} \supseteq D_{\mathfrak{p}}$. The conclusion follows by applying Theorem 2.4 and [7, Proposition 2.6]. \square

We give now a natural application of Corollary 2.5.

Example 2.8. (see [9, Theorem 4.1]) Let V be a valuation domain with residue field k and let $\pi : V \rightarrow k$ be the canonical projection. Let D be a PvMD whose quotient field is k . Consider the following pullback diagram:

$$\begin{array}{ccc} R & \longrightarrow & D \\ \downarrow & & \downarrow \\ V & \xrightarrow{\pi} & k \end{array}$$

We claim that the ring $R := \pi^{-1}(D)$ is a PvMD.

By [9, Corollary 1.9], $\pi^{-1}(\mathfrak{p})$ is a t -prime ideal of R , for any t -prime ideal \mathfrak{p} of D and it is easy to check that $\pi^{-1}(D_{\mathfrak{p}}) = R_{\pi^{-1}(\mathfrak{p})}$.

Thus, keeping in mind that D is a PvMD whose quotient field is k , [8, Theorem 2.4(1)] implies that the collection $\mathcal{V} := \{R_{\pi^{-1}(\mathfrak{p})} : \mathfrak{p} \in t\text{-Spec}(D)\}$ is an essential representation of R .

The centers in R of the valuation domains in \mathcal{V} are the inverse images $\pi^{-1}(\mathfrak{p})$, for $\mathfrak{p} \in t\text{-Spec}(D)$. This set is closed with respect to the constructible topology, by [1, Chapter 3, Exercise 29] and Remark 2.1(7). The conclusion follows by Corollary 2.5.

The following Lemma will be useful to explain why Griffin's Theorem 2.2 follows from Theorem 2.4.

Lemma 2.9. *Let A be a ring and Y be an infinite subset of $\text{Spec}(A)$ such that every nonzero element of A belongs to only finitely many prime ideals in Y . Then, A is an integral domain and $\text{Cl}^c(Y) = Y \cup \{(0)\}$.*

Proof. Since Y is infinite, take a non principal ultrafilter \mathcal{U} on Y , and let

$$Y_{\mathcal{U}} := \{x \in A : V(x) \cap Y \in \mathcal{U}\}$$

be the ultrafilter limit prime ideal of Y , with respect to \mathcal{U} (see [3, Lemma 2.4]). Thus, for any element $x \in Y_{\mathcal{U}}$, the set $V(x) \cap Y \in \mathcal{U}$, thus it is infinite since the ultrafilter is not trivial and, by assumption, $x = 0$. This proves that $Y_{\mathcal{U}} = (0)$. Thus (0) is a prime ideal and so A is an integral domain. Furthermore, $(0) \in \text{Cl}^c(Y)$. Since the equality $Y_{\mathcal{U}} = (0)$ holds for any non principal ultrafilter \mathcal{U} on Y , the conclusion follows immediately from the equality (\diamond) at page 4. \square

Remark 2.10. Now we observe that the nontrivial part (i) \implies (ii) of Griffin's characterization of Krull-type domains (Theorem 2.2(2)) follows from Theorem 2.4. Suppose D is a Krull-type domain and let $\mathcal{V} := \{D_{\mathfrak{p}} : \mathfrak{p} \in Y\}$ be an essential and locally finite representation of D (for some subset Y of $\text{Spec}(D)$). Of course, for any $d \in D - \{0\}$, only finitely many prime ideals in Y contain d . Thus, if Y is infinite, by Lemma 2.9 we have

$$\text{Cl}^c(Y) = Y \cup \{0\} \subseteq \mathcal{E}(D) := \{\mathfrak{p} \in \text{Spec}(D) : D_{\mathfrak{p}} \text{ is a valuation domain}\}$$

If Y is finite, it is clearly closed, since the constructible topology is Hausdorff, in particular. Thus, in any case, we have $\text{Cl}^c(Y) \subseteq \mathcal{E}(D)$ and, by Theorem 2.4, D is a PvMD.

Corollary 2.11. *Let $K \subseteq L$ be an algebraic field extension, A be a PvMD whose field of fractions is K and B be an integrally closed essential domain with field of fractions L . Moreover, suppose that B admits an essential representation \mathcal{V} such that, for any $V \in \mathcal{V}$, the center of V in A is a t -ideal. Then B is a PvMD.*

Proof. Let X be the subset of $\text{Spec}(B)$ such that $\mathcal{V} = \{B_{\mathfrak{h}} : \mathfrak{h} \in X\}$, and let $\iota^* : \text{Spec}(B) \longrightarrow \text{Spec}(A)$ denote the map naturally induced

by the inclusion $\iota : A \longrightarrow B$. By [1, Chapter 3, Exercise 29], the map ι^* is continuous (and closed), if $\text{Spec}(A), \text{Spec}(B)$ are equipped with the constructible topology, and then $\iota^*(\text{Cl}^c(X)) \subseteq \text{Cl}^c(\iota^*(X))$. On the other hand, $\iota^*(X)$ is clearly the set of all centers in A of the valuation domains in \mathcal{V} and thus, keeping in mind assumption and applying ([3, Lemma 2.4 and Proposition 2.5], we have $\text{Cl}^c(\iota^*(X)) \subseteq t\text{-Spec}(A)$. Now take a prime ideal $\mathfrak{p} \in \text{Cl}^c(X)$. Since we have $\iota^*(\text{Cl}^c(X)) \subseteq t\text{-Spec}(A)$, $\mathfrak{p} \cap A$ is a t -prime ideal of A and, since A is a PvMD, $A_{\mathfrak{p} \cap A}$ is a valuation domain such that $A_{\mathfrak{p} \cap A} \subseteq B_{\mathfrak{p}}$. Then the integral closure $\overline{A_{\mathfrak{p} \cap A}}^{(L)}$ of $A_{\mathfrak{p} \cap A}$ in L is a Prüfer domain whose field of fractions is L and, since B is integrally closed, we have $\overline{A_{\mathfrak{p} \cap A}}^{(L)} \subseteq B_{\mathfrak{p}}$. It follows that $B_{\mathfrak{p}}$ is a valuation domain, being it a local overring of a Prüfer domain. Now it suffices to apply Theorem 2.4. \square

Example 2.12. Let $\mathbb{Q} \subset K$ be a finite field extension and consider a DVR overring (V, M_V) of $\mathbb{Z}[X]$ such that $A = V \cap \mathbb{Q}[X]$ is a PvMD ([22, Theorem 5.8]). Let (W, M_W) be an extension of V to $K(X)$. Then $B = W \cap K[X]$ is a PvMD.

We have to show that $W \cap \bigcap_{Q \in \text{Max}(K[X])} K[X]_Q$ is an essential representation of B . It is easy to check that $K[X]_Q = B_{Q \cap B}$, for each $Q \in \text{Max}(K[X])$ (these ideals $Q \cap B$ are exactly the uppers to zero of B)

As regards W , $M_W \cap B \supset M_W \cap A = M_V \cap A$ (since W is an extension of V) and it is known that $A_{M_V \cap A} = V$ ([22, Theorem 5.8 & Lemma 1.3 (2)]). Then $V \subset B_{M_W \cap B}$ and so $B_{M_W \cap B}$ is a valuation domain since it contains the integral closure of V in K , that is Prüfer ([14, Theorem 22.3]). For dimension consideration, it follows that $B_{M_W \cap B} = W$.

Now W is centered in $M_V \cap A$ that is a t -ideal of A (since it is minimal over a principal ideal by [22, proof of Lemma 1.3 (1)]). All the valuation overrings of $K[X]$ are centered in the upper to zero primes of A , which are also t -primes of A . Thus B is a PvMD by Corollary 2.11.

Corollary 2.13. ([20, Corollary 3.9] and [25, Proposition 5.1]) *Let A be a PvMD and let X be a non empty collection of t -prime ideals of A . Then $\bigcap \{A_{\mathfrak{p}} : \mathfrak{p} \in X\}$ is a PvMD.*

Proof. Set $B := \bigcap \{A_{\mathfrak{p}} : \mathfrak{p} \in X\}$ and, for any prime ideal $\mathfrak{p} \in X$, set $\tilde{\mathfrak{p}} := \mathfrak{p}A_{\mathfrak{p}} \cap B$. Note that, since obviously $B_{\tilde{\mathfrak{p}}} = A_{\mathfrak{p}}$, for any $\mathfrak{p} \in X$, the collection of rings $\mathcal{V} := \{B_{\tilde{\mathfrak{p}}} : \mathfrak{p} \in X\}$ is an essential representation of B such that $\tilde{\mathfrak{p}} \cap A = \mathfrak{p}$ is a t -prime ideal of A . Then the statement follows immediately by Corollary 2.11, just by taking $L := K$. \square

Now we give a sufficient condition for an intersection of a family of PvMDs to be a PvMD. Recall that a family \mathcal{F} of subsets of subsets of a topological space X is called a *locally finite collection of sets* if for any $x \in X$ there is a neighborhood U of x such that $\{F \in \mathcal{F} : F \cap U \neq \emptyset\}$ is finite.

Let $\{D_i : i \in I\}$ a family of PvMDs and set $D := \bigcap \{D_i : i \in I\}$. We say that D *essential, with respect to the family* $\{D_i : i \in I\}$, if the canonical representation

$$\{(D_i)_{\mathfrak{q}} : \mathfrak{q} \in t\text{-Spec}(D_i), i \in I\}$$

of D is essential. It follows immediately that if D is essential with respect to $\{D_i : i \in I\}$, then D_i is an overring of D , for any $i \in I$.

Theorem 2.14. *Let $\{D_i : i \in I\}$ a nonempty collection of PvMDs set $D := \bigcap \{D_i : i \in I\}$, and suppose that D is essential, with respect to the family $\{D_i : i \in I\}$. Assume also that for any $\mathfrak{p} \in \text{Spec}(D)$ there are an element $f \in D - \mathfrak{p}$ and a finitely generated ideal $\mathfrak{a} \subseteq \mathfrak{p}$ such that only for finitely many indices $i \in I$ may exist a t -prime ideal \mathfrak{q} of D_i such that $f \notin \mathfrak{q}$ and $\mathfrak{a} \subseteq \mathfrak{q} \cap D$. Then D is a PvMD.*

Proof. For any $i \in I$, let $\iota_i : D \rightarrow D_i$ denote the inclusion. Of course, the set of the centers of the canonical and essential representation

$$\{(D_i)_{\mathfrak{q}} : \mathfrak{q} \in t\text{-Spec}(D_i), i \in I\}$$

of D is $X := \{\mathfrak{q} \cap D : \mathfrak{q} \in t\text{-Spec}(D_i), i \in I\} = \bigcup_{i \in I} \iota_i^*(t\text{-Spec}(D_i))$. Let $\mathfrak{p} \in \text{Spec}(A)$. By assumption, the open neighborhood $D(f) \cap V(\mathfrak{a})$ (with respect to the constructible topology) intersects $\iota_i^*(t\text{-Spec}(D_i))$ only for finitely many $i \in I$. Moreover, for any $i \in I$ the set $\iota_i^*(t\text{-Spec}(D_i))$ is closed, with respect to the constructible topology, being $t\text{-Spec}(D_i)$ closed and ι_i^* continuous. Thus $\{\iota_i^*(t\text{-Spec}(D_i)) : i \in I\}$ is a locally finite family of closed sets of $\text{Spec}(D)$. By [5, Theorem 1.1.11], we infer that X is closed, with respect to the constructible topology. Thus the conclusion follows immediately from Corollary 2.5. \square

The following results are immediate consequences of Theorem 2.14.

Corollary 2.15. *Let D_1, \dots, D_n be PvMDs, set $D := D_1 \cap \dots \cap D_n$ and assume that D is essential, with respect to $\{D_1, \dots, D_n\}$. Then D is a PvMD.*

Corollary 2.16. *Let $\{D_i : i \in I\}$ be a nonempty family of PvMDs, set $D := \bigcap \{D_i : i \in I\}$ and suppose that D is essential, with respect to $\{D_i : i \in I\}$. Assume that at least one of the following properties is satisfied.*

- (1) For any $\mathfrak{p} \in \text{Spec}(D)$ there is an element $f \in D - \mathfrak{p}$ such that for only finitely many indices $i \in I$ there exists a t -prime ideal \mathfrak{q} of D_i such that $f \notin \mathfrak{q} \cap D$.
- (2) For any $\mathfrak{p} \in \text{Spec}(D)$ there is a finitely generated ideal \mathfrak{a} of A contained in \mathfrak{p} such that for only finitely many indices $i \in I$ there exists a t -prime ideal \mathfrak{q} of D_i such that $\mathfrak{q} \cap D \supseteq \mathfrak{a}$.

Then D is a PvMD.

The following example gives a direct application of Corollary 2.15.

Example 2.17. Let (V, M_V) be a one-dimensional, discrete valuation overring of $\mathbb{Z}[X]$ such that $V \cap \mathbb{Q}[X]$ is PvMD, not Prüfer (see [22, Proposition 4.1 and Theorem 5.8]). Suppose that $M_V \cap \mathbb{Z}[X] = (p, f(X))$, where $p \in \mathbb{Z}$ is a prime integer and $f(X) \in \mathbb{Q}[X]$ is a non linear, monic and irreducible polynomial over \mathbb{F}_p (the field with p elements).

Then $A := V \cap \text{Int}(\mathbb{Z})$ is a PvMD, not Prüfer.

That A is not Prüfer follows from the fact that its overring $V \cap \mathbb{Q}[X]$ is not Prüfer.

We recall that all the prime ideals of $\text{Int}(\mathbb{Z})$ are either (0) , uppers to zero or maximals of the type $\mathfrak{m}_{p,\alpha} = \{f \in \text{Int}(\mathbb{Z}) : f(\alpha) \in \widehat{p\mathbb{Z}_{(p)}}\}$, where $p \in \mathbb{Z}$ is prime and $\alpha \in \widehat{\mathbb{Z}_{(p)}}$ (the p -adic completion of \mathbb{Z}). It is also well-known that $\mathfrak{m}_{p,\alpha} \cap \mathbb{Z}[X] = (p, X - a)$, where $a \in \mathbb{Z}$ is such that $\alpha - a \in \widehat{p\mathbb{Z}_{(p)}}$ ([2, Remark V.2.6 (iiib)]). This implies that $\mathfrak{m}_{p,\alpha} \cap A \not\subseteq M_V \cap A$ since $\mathfrak{m}_{p,\alpha} \cap \mathbb{Z}[X] \not\subseteq M_V \cap \mathbb{Z}[X]$ and $\mathbb{Z}[X] \subset A$.

The domain $\text{Int}(\mathbb{Z})$ is Prüfer, so all its localizations at prime ideals are valuation domains.

Let's see $V \cap (\bigcap_{Q \in \text{Spec}(\text{Int}(\mathbb{Z}))} \text{Int}(\mathbb{Z})_Q)$ is an essential representation of A .

If $Q \in \text{Spec}(\text{Int}(\mathbb{Z}))$ and $Q \cap \mathbb{Z} = (0)$, then $\text{Int}(\mathbb{Z})_Q = A_{Q \cap A} = \mathbb{Q}[X]_{(f)}$, where f is such that $Q = f\mathbb{Q}[X] \cap \text{Int}(\mathbb{Z})$. Thus $\text{Int}(\mathbb{Z})_Q$ is a localization of A that is a valuation domain.

If $Q \cap \mathbb{Z} = (p)$, for some prime $p \in \mathbb{Z}$, then $Q = \mathfrak{m}_{p,\alpha}$, $\exists \alpha \in \widehat{\mathbb{Z}_{(p)}}$. In this case, $A_{(\mathfrak{m}_{p,\alpha} \cap A)} = V_{(A \setminus (\mathfrak{m}_{p,\alpha} \cap A))} \cap \text{Int}(\mathbb{Z})_{(A \setminus (\mathfrak{m}_{p,\alpha} \cap A))}$. But, since we have observed that $\mathfrak{m}_{p,\alpha} \cap A \not\subseteq M_V$, it follows that $V_{(A \setminus (\mathfrak{m}_{p,\alpha} \cap A))} = \mathbb{Q}(X)$ and so $A_{(\mathfrak{m}_{p,\alpha} \cap A)} = \text{Int}(\mathbb{Z})_{(A \setminus (\mathfrak{m}_{p,\alpha} \cap A))}$, that is a valuation domain since $\text{Int}(\mathbb{Z})$ is Prüfer and $A_{(\mathfrak{m}_{p,\alpha} \cap A)}$ is a local overring of $\text{Int}(\mathbb{Z})$.

Now, we'll see that V is a localization of A at some prime ideal. Obviously $V \not\subseteq \text{Int}(\mathbb{Z})$, otherwise $V \cap \mathbb{Q}[X]$ would be Prüfer as being an overring of $\text{Int}(\mathbb{Z})$. We also have that V is rational (i.e. its value group is contained in \mathbb{Q}). By [18, Lemma 1.3], we easily have that $A_{\mathfrak{M}_V} = V$, where \mathfrak{M}_V is the center of V in A .

By Corollary 2.5 we have to show that the set of the centers in A of $\{\text{Int}(\mathbb{Z})_Q; Q \in \text{Spec}(\text{Int}(\mathbb{Z}))\} \cup \{V\}$ is closed with respect to the constructible topology and this is equivalent to ask that the set of the centers in A of $\{\text{Int}(\mathbb{Z})_Q; Q \in \text{Spec}(\text{Int}(\mathbb{Z}))\}$ is closed. Now, this set is exactly the image of $\text{Int}(\mathbb{Z})$ under the map

$$f^* : \text{Spec}(\text{Int}(\mathbb{Z})) \rightarrow \text{Spec}(A), \quad P \mapsto P \cap A$$

and so it is closed.

3. AN APPLICATION TO INTEGER-VALUED POLYNOMIALS

Given a domain D with quotient field K , the Integer-valued polynomial ring on D is the ring $\text{Int}(D) := \{f \in K[X] : f(D) \subseteq D\}$.

In [26] (for Krull-type domains) and [3] (for general domains), the authors study conditions on D to have that $\text{Int}(D)$ is a PvMD.

Following the notation of [3], a t -prime ideal $P \in \text{Spec}(D)$ is called *int-prime* if $\text{Int}(D)_{(D \setminus P)} \neq D_P[X]$ (in the following, for simplicity of notation, we will put $\text{Int}(D)_P := \text{Int}(D)_{(D \setminus P)}$, for any prime ideal P of D).

For any domain D , it is well-known that $D = \bigcap_{P \in t\text{-Spec}(D)} D_P$.

We define the following two subsets of $t\text{-Spec}(D)$:

$$\Lambda_1 := \{P \in t\text{-Spec}(D) : \text{Int}(D)_P = D_P[X]\}$$

and

$$\Lambda_0 := \{P \in t\text{-Spec}(D) : \text{Int}(D)_P \neq D_P[X]\}.$$

From [2, Proposition I.3.4] it follows that the ideals of Λ_0 are also maximal (since, by [3, Corollary 1.3], $|D/P| < \infty$).

We set $D_1 := \bigcap_{P \in \Lambda_1} D_P$ and $D_0 := \bigcap_{P \in \Lambda_0} D_P$. From [3, Lemma 4.1] it follows that

$$\text{Int}(D) = D_1[X] \cap \text{Int}(D_0).$$

If $\text{Int}(D)$ is a PvMD, then $\text{Int}(D_0)$ is Prüfer ([3, Corollary 4.9]), but this last condition is not sufficient to get that $\text{Int}(D)$ is a PvMD, also assuming that D is a PvMD ([3, Example 5.1]).

If D is Krull-type, the condition $\text{Int}(D_0)$ is Prüfer is equivalent to ask that $\text{Int}(D)$ is a PvMD. This result is implicitly shown in [26], but we give a more explicit proof of this fact in the next Theorem.

Theorem 3.1. *Let D be a Krull-type domain. Then $\text{Int}(D)$ is a PvMD if and only if $\text{Int}(D_0)$ is Prüfer.*

Proof. If $\text{Int}(D)$ is a PvMD, then we have already observed above that $\text{Int}(D_0)$ is Prüfer.

Suppose that $\text{Int}(D_0)$ is Prüfer. Then D_0 is almost Dedekind by [2, Proposition VI.1.5]. If $P \in \Lambda_0$, then (by construction) D_P is a local overring of D_0 , and thus it is a DVR (as being D_0 almost Dedekind). So P is height-one. From [26, Theorem 3.2] we know that when D is Krull-type, $\text{Int}(D)$ is a PvMD if and only if each $P \in \Lambda_0$ has height one. It follows that $\text{Int}(D)$ is a PvMD. \square

Using Theorem 2.4, we will show that in Theorem 3.1 the Krull-type condition can be replaced by the weaker condition $\text{Int}(D)_P = \text{Int}(D_P)$, for each $P \in t\text{-Spec}(D)$ (this is always verified when D is Krull-type by [26, Proposition 2.3]).

We recall several facts that we will freely use in the following:

Remark 3.2. Let D be an integral domain.

- (1) If S is a multiplicative subset of D , then each contraction to D of a t -ideal of D_S is a t -ideal of D ([20, Lemma 3.17]).
- (2) Let Y be a nonempty collection of prime ideals of D and let $D' := \bigcap \{D_{\mathfrak{p}} : \mathfrak{p} \in Y\}$. By applying [13, Proposition 1.3] it follows that if \mathfrak{a} is a t -ideal of D' , then $\mathfrak{a} \cap D$ is a t -ideal of D .
- (3) A prime ideal of D which is minimal over a principal ideal is a t -ideal ([19, Corollaire 3, p. 31]). In particular, in polynomial rings, the uppers to zero primes are always t -ideals.

Lemma 3.3. *Let $V \subseteq W$ be valuation domains having the same quotient field, and suppose that W has finite residue field. Then $V = W$.*

Proof. There exists a prime ideal P of V such that $V_P = W$. If M_W is the maximal ideal of W , then $M_W \cap V = P$ and so $V/P \subseteq W/M_W$. But W/M_W is finite, whence V/P is a field. Then P is maximal in V and $V = V_P = W$. \square

Proposition 3.4. *With the above notation, let D be a PvMD and D_0 be a Prüfer domain with finite residue fields. Suppose that $\text{Int}(D)_P = \text{Int}(D_P)$, for each t -maximal ideal P of D . Let $i : D \hookrightarrow D_0$ be the inclusion map and $i^* : \text{Spec}(D_0) \rightarrow \text{Spec}(D)$ be the induced contraction sending $\mathfrak{q} \mapsto \mathfrak{q} \cap D$. Then $i^*(\text{Spec}(D_0)) = \Lambda_0$. In particular, it follows that Λ_0 is closed with respect to the constructible topology.*

Proof. Let $\mathfrak{q} \in \text{Spec}(D_0)$ and set $P := \mathfrak{q} \cap D$. Since D_0 is a Prüfer domain, P is a t -prime ideal of D , by Remark 3.2 (ii,iv). Keeping in mind that D is a PvMD, D_P is a valuation domain such that $D_P \subseteq$

$(D_0)_{\mathfrak{q}}$. Furthermore, by Lemma 3.3, we have $D_P = (D_0)_{\mathfrak{q}}$, since, by assumption, the residue field of $(D_0)_{\mathfrak{q}}$ is finite. Thus we have $\text{Int}(D)_P = \text{Int}(D_P)$ and $\text{Int}(D_P) \neq D_P[X]$ ([2, Proposition I.3.16]). So $P \in \Lambda_0$. This proves that $i^*(\text{Spec}(D_0)) \subseteq \Lambda_0$. The converse inclusion is trivial. The fact that Λ_0 is closed with respect to the constructible topology is now clear, in view of [1, Chapter 3, Exercise 27]. \square

Remark 3.5. The last statement of Proposition 3.4 strictly generalizes [3, Lemma 2.6], in which the same result is shown for domains D such that $\text{Int}(D)$ is a PvMD.

Lemma 3.6. *With the above notation, suppose that D is a PvMD such that $\text{Int}(D)_P = \text{Int}(D_P)$, for each t -maximal ideal P of D , and that D_0 is almost Dedekind with all finite residue fields. Let $i_0 : \text{Int}(D) \rightarrow \text{Int}(D_0)$ be the inclusion map and $i_0^* : \text{Spec}(\text{Int}(D_0)) \rightarrow \text{Spec}(\text{Int}(D))$ be the induced contraction map sending $Q \mapsto Q \cap \text{Int}(D)$. Then*

$$\{M \cap \text{Int}(D) : M \in \text{Spec}(\text{Int}(D_P)), P \in \Lambda_0\} = i_0^*(\text{Spec}(\text{Int}(D_0))).$$

Proof. We observe that if $P \in \Lambda_0$, then $D_P = (D_0)_{\mathfrak{q}}$, for some $\mathfrak{q} \in \text{Spec}(D_0)$ (Proposition 3.4). In particular, $D_P = (D_0)_{D \setminus P}$. Thus D_P is also a localization of D_0 . Then $\text{Int}(D_P) \supseteq \text{Int}(D_0)$, whence we have the inclusion $\{M \cap \text{Int}(D) : M \in \text{Spec}(\text{Int}(D_P)), P \in \Lambda_0\} \subseteq i_0^*(\text{Spec}(\text{Int}(D_0)))$.

Conversely, let $Q \in \text{Spec}(\text{Int}(D_0))$. Then $Q \cap D \in \Lambda_0$. In fact $P = Q \cap D = (Q \cap D_0) \cap D$. By Proposition 3.4, $P \in \Lambda_0$. So, $Q = Q \cap \text{Int}(D_0)_{D \setminus P} \cap \text{Int}(D_0)$. It is easy to check that $\text{Int}(D_0)_{D \setminus P} = \text{Int}(D)_P$. Since $\text{Int}(D_P) = \text{Int}(D)_P$, the thesis follows. \square

Theorem 3.7. *With the above notation, let D be an integral domain such that $\text{Int}(D)_P = \text{Int}(D_P)$, for each t -maximal ideal P of D . Then the following conditions are equivalent:*

- (1) $\text{Int}(D)$ is a PvMD;
- (2) if D is a PvMD and $\text{Int}(D_0)$ is a Prüfer domain.

Proof. (1) \Rightarrow (2) It is already known.

(2) \Rightarrow (1) Since D is a PvMD, also D_1 and $D_1[X]$ are PvMDs ([20, Corollary 3.9 and Theorem 3.7]). Moreover $\text{Int}(D_0)$ is Prüfer, whence it is a PvMD. So $\text{Int}(D) = D_1[X] \cap \text{Int}(D_0)$ is the intersection of two PvMDs and, by Corollary 2.15, it is sufficient to show that $\text{Int}(D)$ is essential with respect to both $D_1[X]$ and $\text{Int}(D_0)$.

Take $Q \in t\text{-Spec}(D_1[X])$, $Q \cap D \neq (0)$. By Remark 3.2(2), $Q \cap \text{Int}(D) \in t\text{-Spec}(\text{Int}(D))$ and by [26, Proposition 2.1] $\mathfrak{p} := Q \cap D \in t\text{-Spec}(D) = \Lambda_0 \cup \Lambda_1$.

If $\mathfrak{p} \in \Lambda_0$, then $\text{Int}(D) \not\subseteq D_{\mathfrak{p}}[X]$. But $\text{Int}(D) \subseteq D_1[X] \subseteq D_{\mathfrak{p}}[X]$, which is a contradiction. It follows that $\mathfrak{p} \in \Lambda_1$. We observe that, for such a \mathfrak{p} , $(D_1)_{D \setminus \mathfrak{p}} = D_{\mathfrak{p}}$ since $D_1 \subseteq D_{\mathfrak{p}}$.

Now

$$D_1[X]_Q = (D_1[X]_{D \setminus \mathfrak{p}})_{Q^e} = D_p[X]_{Q^e} = (\text{Int}(D)_{\mathfrak{p}})_{Q^e}.$$

If Q is an upper to zero ideal (in this case $QK[X] = fK[X]$ for some irreducible $f \in K[X]$), then $D_1[X]_Q = K[X]_f = \text{Int}(D)_{Q \cap \text{Int}(D)}$.

Then $D_1[X]$ is essential with respect to $\text{Int}(D)$.

With regards to $\text{Int}(D_0)$, take $M \in \text{Spec}(\text{Int}(D_0))$. By Lemma 3.6 $M \cap \text{Int}(D) = M' \cap \text{Int}(D)$, where $M' \in \text{Spec}(\text{Int}(D_{\mathfrak{p}}))$, with $\mathfrak{p} \in \Lambda_0$. Then $\text{Int}(D_0)_M = (\text{Int}(D_0)_{\mathfrak{p}})_{\text{Int}(D_0) \setminus M} = (\text{Int}(D)_{\mathfrak{p}})_{\text{Int}(D_0) \setminus M} = \text{Int}(D)_{M \cap \text{Int}(D)}$. Thus $\text{Int}(D)$ is essential also with respect to $\text{Int}(D_0)$ and the thesis follows. \square

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